

IPW



|  |    |                        |                    |
|--|----|------------------------|--------------------|
| <h2 style="text-align: center;">TRANSMITTAL FORM</h2> <p><i>(to be used for all correspondence after initial filing)</i></p> |    | Application No.        | 10/816,259         |
|  |    | Filing Date            | March 31, 2004     |
|  |    | First Named Inventor   | Mukul P. Renavikar |
|  |    | Art Unit               | 2818               |
|  |    | Examiner Name          | Le, Dung Anh       |
| Total Number of Pages in This Submission   | 12 | Attorney Docket Number | 42P19022           |

| ENCLOSURES (check all that apply)   |   |  |
|---|---|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form<br><input type="checkbox"/> Fee Attached<br><br><input checked="" type="checkbox"/> Amendment / Response<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><br><input type="checkbox"/> Extension of Time Request<br><br><input type="checkbox"/> Express Abandonment Request<br><br><input type="checkbox"/> Information Disclosure Statement<br><input type="checkbox"/> PTO/SB/08<br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input type="checkbox"/> Response to Missing Parts/Incomplete Application<br><input type="checkbox"/> Basic Filing Fee<br><input type="checkbox"/> Declaration/POA<br><br><input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Drawing(s)<br><br><input type="checkbox"/> Licensing-related Papers<br><br><input type="checkbox"/> Petition<br><br><input type="checkbox"/> Petition to Convert a Provisional Application<br><br><input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address<br><br><input type="checkbox"/> Terminal Disclaimer<br><br><input type="checkbox"/> Request for Refund<br><br><input type="checkbox"/> CD, Number of CD(s) | <input type="checkbox"/> After Allowance Communication to Group<br><br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><br><input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)<br><br><input type="checkbox"/> Proprietary Information<br><br><input type="checkbox"/> Status Letter<br><input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):<br><div style="border: 1px solid black; padding: 5px; margin-top: 5px;">         -Return postcard       </div> |
| Remarks   |   |  |

| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT |   |
|--|---|
| Firm or Individual name                    | William Thomas Babbitt, Reg. No. 39,591<br>BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP |
| Signature                                  | <i>William T. Babbitt</i>   |
| Date                                       | November 28, 2005   |

| CERTIFICATE OF MAILING/TRANSMISSION  |                      |      |                   |
|--|----------------------|------|-------------------|
| I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. |                      |      |                   |
| Typed or printed name  | Nedy Calderon        |      |                   |
| Signature  | <i>Nedy Calderon</i> | Date | November 28, 2005 |

Based on PTO/SB/21 (04-04) as modified by Blakely, Sokoloff, Taylor & Zafman (wtr) 06/04/2004.  
 SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450



# FEE TRANSMITTAL for FY 2005

Patent fees are subject to annual revision.

## Complete if Known

|                      |                    |
|----------------------|--------------------|
| Application Number   | 10/816,259         |
| Filing Date          | March 31, 2004     |
| First Named Inventor | Mukul P. Renavikar |
| Examiner Name        | Le, Dung Anh       |
| Art Unit             | 2818               |
| Attorney Docket No.  | 42P19022           |

☐ Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT (\$) 0.00

## METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☒ None ☐ Other (please identify): \_\_\_\_\_  
☒ Deposit Account Deposit Account Number: 02-2666 Deposit Account Name: Blakely, Sokoloff, Taylor & Zafman LLP

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee  
☒ Charge any additional fee(s) or underpayment of fee(s) under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20. ☒ Credit any overpayments

## FEE CALCULATION

### 1. EXTRA CLAIM FEES

| Total Claims       | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
| 23                 | 46**         | 0              | 50.00    |
| 2                  | 4**          | 0              | 200.00   |
| Multiple Dependent |              |                |          |
| Large Entity       | Small Entity |                |          |
| Fee Code           | Fee (\$)     | Fee Code       | Fee (\$) |
| 1202               | 50           | 2202           | 25       |
| 1201               | 200          | 2201           | 100      |
| 1203               | 360          | 2203           | 180      |
| 1204               | 300          | 2204           | 150      |
| 1205               | 300          | 2205           | 150      |
| SUBTOTAL (1)       |              | (\$)           | 0.00     |

\*\*or number previously paid, if greater, For Reissues, see below

### 2. ADDITIONAL FEES

| Large Entity        | Small Entity | Fee Description | Fee Paid |
|---------------------|--------------|-----------------|----------|
| Fee Code            | Fee (\$)     | Fee Code        | Fee (\$) |
| 1051                | 130          | 2051            | 65       |
| 1052                | 50           | 2052            | 25       |
| 2053                | 130          | 2053            | 130      |
| 1251                | 120          | 2251            | 60       |
| 1252                | 450          | 2252            | 225      |
| 1253                | 1,020        | 2253            | 510      |
| 1254                | 1,590        | 2254            | 795      |
| 1255                | 2,160        | 2255            | 1,080    |
| 1401                | 500          | 2401            | 250      |
| 1402                | 500          | 2402            | 250      |
| 1403                | 1,000        | 2403            | 500      |
| 1451                | 1,510        | 2451            | 1,510    |
| 1460                | 130          | 2460            | 130      |
| 1807                | 50           | 1807            | 50       |
| 1806                | 180          | 1806            | 180      |
| 1809                | 790          | 1809            | 395      |
| 1810                | 790          | 2810            | 395      |
| Other fee (specify) |              |                 |          |
| SUBTOTAL (2)        |              | (\$)            |          |

## SUBMITTED BY

## Complete (if applicable)

|                   |                        |                                   |          |           |                |
|-------------------|------------------------|-----------------------------------|----------|-----------|----------------|
| Name (Print/Type) | William Thomas Babbitt | Registration No. (Attorney/Agent) | 39,591   | Telephone | (310) 207-3800 |
| Signature         | William T. Babbitt     | Date                              | 11/28/05 |           |                |



Attorney's Docket No. 42390.P19022

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Mukul P. Renavikar et, al.

Application No. 10/816,259

Filed: March 31, 2004

For: CREATION OF ELECTRON TRAPS IN METAL  
NITRIDE AND METAL OXIDE ELECTRODES  
IN POLYMER MEMORY DEVICE

Examiner: Dung A. Le

Art Unit: 2818

Confirmation No. 9845

AMENDMENT AND RESPONSE TO OFFICE ACTION

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Dear Sir:

In response to the Office Action mailed August 26, 2005, Applicants respectfully request entry of the following amendment.